IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5   Applicants: Yi-Nan Su et al.

Serial No.: 10/605,076   Filing Date: 09/07/2003

Examiner: THOMPSON, CRAIG   Art Unit: 2813

Docket No.: NAUP0529USA

10   Title: METHOD FOR FABRICATING SHALLOW TRENCH
ISOLATION BETWEEN DEEP TRENCH CAPACITORS

To: Commissioner for Patents

P.O. BOX 1450

15   Alexandria, VA 22313-1450

Subject: Status Inquiry

Dear Sir,

20

The applicant paid issue fee and publication fee of the above identified application on 06/04/2004 (Attachment 1). And the publication fee $300.00 was deducted from the deposit account no. 503105 on 06/09/2004 (Attachment 2). But the

25 above identified application was issued as a patent without publication process (Attachment 3). So the applicant submitted a request for a refund of publication fee on 07/07/2005(Attachment 4). But the refund has not been received so far.

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Please refund the publication fee $300.00 to the deposit account no. 50-3105 as soon as possible. Your quick response

will be appreciated.

Respectfully submitted,

5 *Winston Hsu*   Date: __MAY 2 6 2006__

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Note: Please leave a message in my voice mail if you need to
talk to me. (The time in D.C. is 12 hours behind the Taiwan
time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)
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OIPE IAP88
MAY 3 0 2006
PATENT & TRADEMARK OFFICE

Attachment 1

PART B - FEE(S) TRANSMITTAL

Complete and send this form together with applicable fee(s), to: __Mail__

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
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or __Fax__ (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

_____ (Depositor's name)

_____ (Signature)

_____ (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/605,076	09/07/2003	Yi-Nan Su	NAUP0529USA	2075

TITLE OF INVENTION: METHOD FOR FABRICATING SHALLOW TRENCH ISOLATION BETWEEN DEEP TRENCH CAPACITORS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	$1330	$3.	$1630	07/06/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
THOMPSON, CRAIG	2813	438-4...

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Winston Hsu
2 _____
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

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UNITED MICROELECTRONICS CORP.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

No. , Li-Hsin Road , Science-Based Industrial Park, Hsin-Chu City 300, Taiwan, R.O.C.

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☑ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☑ Issue Fee
☑ Publication Fee
☐ Advance Order - # of Copies _____

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☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
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